

Serial No.

Docket No. RD-27555

BET
9.12.02
#21 IDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher M.W. Daft et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For Method and System for Conducting Medical Imaging
Transactions

J1040 U.S. PTO
10/072254
02/11/02

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

January 3rd 2002
Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301
Customer Number: 006147



Attorney - Patrick K. Patnode
Registration No. 40,121
Phone: (518) 387-5286
or (518) 387-7122

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	1
-------	---	----	---

RD-27,555/068709-016

JU1040 U.S. PRO
 10/072254
 02/11/02

[illegible][illegible]Date
Considered

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

<p>9</p>				Complete if Known	
				Application Number	Not Assigned
				Filing Date	Simultaneously Herewith
				First Named Inventor	Christopher Mark William Daft
				Group Art Unit	Not Assigned
				Examiner Name	Not Assigned
Sheet	2	of	2	Attorney Docket Number	RD-27,555/068709-016

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Software to Track Customers' Needs Helped Firms React by Chris Gaither dated October 1, 2001 Technology The New York Times http://www.nytimes.com/2001/10/01/technology/ebusiness/01CRM.html?todayshdlines=	
	2		
	3		
	4		
	5		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

ORL1 #639648 v1

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

ORIGINAL/CIP PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S DOCKET NO.
RD-27555

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith for filing is the ☒ ORIGINAL ☐ CONTINUATION-IN-PART patent application of:
Christopher Mark William Daft and William Macomber Leue

Inventor(s)

For Method and System for Conducting Medical Imaging Transactions

(Title of Invention)

☐ This is a Continuation-In-Part of Serial No. _____, filed _____, Attorney Docket No. _____

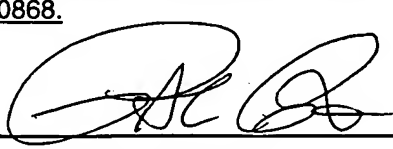
ENCLOSED ARE:

- ☒ Specification having 19 total pages.
- ☒ 2 sheets of ☒ formal ☐ informal drawings.
- ☒ Declaration. ☐ Unsigned Declaration.
- ☒ Information Disclosure Statement.
- ☐ Other _____
- ☒ An Assignment of the invention to General Electric Company with cover sheet.

The filing fee is calculated below:

	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	22 - 20 =	2	x \$18.00	\$36.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$84.00	\$0.00
ADDITIONAL FEE FOR USE OF MULTIPLE DEPENDENT CLAIM(S) (once per application)			x \$280.00	
TOTAL FILING FEE				\$776.00

- ☒ Please charge \$776.00 to my Deposit Account No. 07-0868.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of all fees required under 37 CFR 1.16 or 1.17 or credit any overpayment to Deposit Account No. 07-0868.

January 3rd, 2002
date

Attorney Patrick K. Patnode
Reg. No 40,121Send Correspondence to:
General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 -Salamone
Schenectady, New York 12301

"Express Mail" mailing label number _____

Date of Deposit _____

Customer Number: 006147

Three copies of this form are enclosed